



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/696,220	10/26/2000	Masafumi Kokura	925-165

NIXON & VANDERHYE P.C.  
1100 North Glebe Road, 8th Floor  
Arlington, VA 22201



## FORMALITIES LETTER



\*OC000000005628506\*

Date Mailed: 12/19/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KOKURA et al

Serial No. 09/696,220

Filed: October 26, 2000

For: PATTERNED SUBSTRATE AND LIQUID CRYSTAL  
DISPLAY PROVIDED THEREWITH

\* \* \* \* \*



Atty. Ref.: 925-165

Group: 1775

Examiner: unknown

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly states the second foreign application date as "09/28/2000". It should read "08/28/2000". The correction is shown in red on the attached copy of the filing receipt. Please note your records accordingly. Correction of same is respectfully requested.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

January 4, 2001

By:

H. Warren Burnam, Jr.

Reg. No. 29,366

HWB:lsh  
1100 North Glebe Road, 8th Floor  
Arlington, VA 22201-4714  
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Facsimile: (703) 816-4100



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/696,220	10/26/2000	1775	0	925-165	11	10	2

NIXON & VANDERHYE P.C.  
1100 North Glebe Road, 8th Floor  
Arlington, VA 22201



## FILING RECEIPT



Date Mailed: 12/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masafumi Kokura, Nara-ken, JAPAN;  
Yoshiharu Kataoka, Osaka-fu, JAPAN;  
Takayuki Shimada, Nara-ken, JAPAN;

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN 11-303823 10/26/1999  
JAPAN 2000-257232 09/28/2000- 08/28/2000

If Required, Foreign Filing License Granted 12/19/2000

## Title

Patterned substrate and liquid crystal display provided therewith

## Preliminary Class

428

Data entry by : MELAKU, AIDA

Team : OIPE

Date: 12/19/2000



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

KOKURA et al

Serial No. 09/696,220

Filed: October 26, 2000

For: PATTERNED SUBSTRATE AND LIQUID CRYSTAL DISPLAY PROVIDED  
THEREWITHAssistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 925-165

Date: January 4, 2001

SECTOR  
#The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record and return the attached assignment.  
☒ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

**Application Nos.**

11-303823

2000-257232

**Country**

Japan

Japan

**Filing Date**

26 October 1999

28 August 2000

respectively.

- ☐ This application is based on the following prior provisional application(s):

**Application No.****Filing Date**

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☒ already filed on **October 26, 2000**.

- ☐ The undersigned verifies that the above-identified application is identical to: filed , as amended on

- ☐ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.

- ☒ Also attached: Request Correction of Filing Receipt

**Fees are attached as calculated below:**

Basic filing fee				\$	710.00
Total Effective claims	10	- 20 =	0	x \$	18.00
				\$	0.00
Independent claims	2	- 3 =	0	x \$	80.00
				\$	0.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)				\$	0.00
				<b>FILING FEE</b>	\$ 710.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)				\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	130.00
				<b>FIRST SUBTOTAL</b>	\$ 840.00
If "small entity," enter half (1/2) of subtotal and subtract				-\$	0.00
				<b>SECOND SUBTOTAL</b>	\$ 840.00
Assignment Recording Fee (\$40.00)				\$	40.00
				<b>TOTAL FEE ENCLOSED</b>	\$ 880.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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**NIXON & VANDERHYE P.C.**

By Atty: H. Warren Burnam, Jr., Reg. No. 29,366

Signature: *H. Warren Burnam, Jr.*